Formalization of DFA using lattices

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Getting help

Are you getting enough help and support?

A. Yes

B. No because I didn't realize there were office hours

C. No because the office hours are at a time that I can't make

D. No because I'm embarrassed to ask for help

E. No because of some other reason

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Project

How is the project going?

A. Easy, getting it all done quickly and easily

B. Challenging but doable

C. Very challenging, I'm having a hard time

D. Have no clue where to start

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Recall worklist algorithm

```
let m: map from edge to computed value at edge
let worklist: work list of nodes

for each edge e in CFG do
   m(e) := ∅

for each node n do
   worklist.add(n)

while (worklist.empty.not) do
   let n := worklist.remove_any;
   let info_in := m(n.incoming_edges);
   let info_out := F(n, info_in);
   for i := 0 .. info_out.length do
      let new_info := m(n.outgoing_edges[i]) ∪
                      info_out[i];
      if (m(n.outgoing_edges[i]) ≠ new_info)
         m(n.outgoing_edges[i]) := new_info;
         worklist.add(n.outgoing_edges[i].dst);
```

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Using lattices

- We formalize our domain with a powerset lattice
- But more generally ANY lattice
- What should be top and what should be bottom?

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Using lattices

- We formalize our domain with a powerset lattice
- But more generally ANY lattice
- What should be top and what should be bottom?
- Does it matter?
  - It matters because, as we've seen, there is a notion of approximation, and this notion shows up in the lattice

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Using lattices

- Unfortunately:
  - dataflow analysis community has picked one direction
  - abstract interpretation community has picked the other
- We will work with the abstract interpretation direction
- Bottom is the most precise (optimistic) answer, Top the most imprecise (conservative)

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Direction of lattice

- Always safe to go up in the lattice
- Can always set the result to $\top$
- Hard to go down in the lattice
- Bottom will be the empty set in reaching defs

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Worklist algorithm using lattices

```
let m: map from edge to computed value at edge
let worklist: work list of nodes

for each edge e in CFG do
   m(e) := ⊥

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Termination of this algorithm?

- For reaching definitions, it terminates...
- Why?
  - lattice is finite
- Can we loosen this requirement?

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Termination of this algorithm?

- For reaching definitions, it terminates...
- Why?
  - lattice is finite
- Can we loosen this requirement?
  - Yes, we only require the lattice to have a finite height
- Height of a lattice: length of the longest ascending or descending chain
- Height of lattice $(2^S, \subseteq)$ = ??

A. $|S| - 1$
B. $|S|$
C. $|S| + 1$
D. None of the above

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- Height of a lattice: length of the longest ascending or descending chain
- Height of lattice $(2^S, \subseteq) = |S|$

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Termination

- Still, it's annoying to have to perform a join in the worklist algorithm

```
while (worklist.empty.not) do
   let n := worklist.remove_any;
   let info_in := m(n.incoming_edges);
   let info_out := F(n, info_in);
   for i := 0 .. info_out.length do
      let new_info := m(n.outgoing_edges[i]) ⊔
                      info_out[i];
      if (m(n.outgoing_edges[i]) ≠ new_info])
         m(n.outgoing_edges[i]) := new_info;
         worklist.add(n.outgoing_edges[i].dst);
```

- It would be nice to get rid of it, if there is a property of the flow functions that would allow us to do so

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Even more formal

- To reason more formally about termination and precision, we re-express our worklist algorithm mathematically
- We will use fixed points to formalize our algorithm

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Fixed points

- Recall, we are computing m, a map from edges to dataflow information
- Define a global flow function F as follows: F takes a map m as a parameter and returns a new map m', in which individual local flow functions have been applied

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Fixed points

- We want to find a fixed point of F, that is to say a map m such that m = F(m)
- Approach to doing this?
- Define $\widetilde{\bot}$, which is $\bot$ lifted to be a map:
  $\widetilde{\bot} = \lambda\ e.\ \bot$
- Compute F($\widetilde{\bot}$), then F(F($\widetilde{\bot}$)), then F(F(F($\widetilde{\bot}$))), ... until the result doesn't change anymore

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Fixed points

- Formally:

$$Soln = \bigsqcup_{i=0}^{\infty} F^i(\widetilde{\bot})$$

- Outer join has same role here as in worklist algorithm: guarantee that results keep increasing
- BUT: if the sequence $F^i(\widetilde{\bot})$ for i = 0, 1, 2 ... is increasing, we can get rid of the outer join!

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- BUT: if the sequence $F^i(\widetilde{\bot})$ for i = 0, 1, 2 ... is increasing, we can get rid of the outer join!
- How? Require that F be monotonic:
  - $\forall\ a, b\ .\ a \sqsubseteq b \Rightarrow F(a) \sqsubseteq F(b)$

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Little bit more about monotonicity

- Definition: F is monotonic if and only if:
  - $\forall a, b \,.\, a \sqsubseteq b \Rightarrow F(a) \sqsubseteq F(b)$
- Which of the following is true:
  A. If F is monotonic then $\forall a \,.\, F(a) \sqsubseteq a$
  B. If F is monotonic then $\forall a \,.\, a \sqsubseteq F(a)$
  C. If $\forall a \,.\, F(a) \sqsubseteq a$ then F is monotonic
  D. If $\forall a \,.\, a \sqsubseteq F(a)$ then F is monotonic
  E. None of the above

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Fixed points

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Fixed points

$\tilde{I} \sqsubseteq F(\tilde{I})$

$F(\tilde{I}) \sqsubseteq F(F(\tilde{I}))$

$F^k(\tilde{I}) \sqsubseteq F^{k+1}(\tilde{I})$

$F^{k+1}(\tilde{I}) \sqsubseteq F^{k+2}(\tilde{I})$

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Back to termination

- So if F is monotonic, we have what we want: finite height ⇒ termination, without the outer join
- Also, if the local flow functions are monotonic, then global flow function F is monotonic

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Another benefit of monotonicity

- Suppose Marsians came to earth, and miraculously give you a fixed point of F, call it fp.
- Then:

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Another benefit of monotonicity

- Suppose Marsians came to earth, and miraculously give you a fixed point of F, call it fp.
- Then:

$\tilde{I} \sqsubseteq fp$

$F(\tilde{I}) \sqsubseteq F(fp)$

$F(\tilde{I}) \sqsubseteq fp$

$F^2(\tilde{I}) \sqsubseteq fp$

$\vdots$

$\mathrm{MOP} \sqsubseteq fp$

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Another benefit of monotonicity

- We are computing the least fixed point...

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Recap

- Let's do a recap of what we've seen so far
- Started with worklist algorithm for reaching definitions

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Worklist algorithm for reaching defns

```
let m: map from edge to computed value at edge
let worklist: work list of nodes

for each edge e in CFG do
   m(e) := ∅

for each node n do
   worklist.add(n)

while (worklist.empty.not) do
   let n := worklist.remove_any;
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   let info_out := F(n, info_in);
   for i := 0 .. info_out.length do
      let new_info := m(n.outgoing_edges[i]) ∪
                      info_out[i];
      if (m(n.outgoing_edges[i]) ≠ new_info)
         m(n.outgoing_edges[i]) := new_info;
         worklist.add(n.outgoing_edges[i].dst);
```

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Generalized algorithm using lattices

```
let m: map from edge to computed value at edge
let worklist: work list of nodes

for each edge e in CFG do
   m(e) := ⊥

for each node n do
   worklist.add(n)

while (worklist.empty.not) do
   let n := worklist.remove_any;
   let info_in := m(n.incoming_edges);
   let info_out := F(n, info_in);
   for i := 0 .. info_out.length do
      let new_info := m(n.outgoing_edges[i]) ⊔
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      if (m(n.outgoing_edges[i]) ≠ new_info)
         m(n.outgoing_edges[i]) := new_info;
         worklist.add(n.outgoing_edges[i].dst);
```

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Next step: removed outer join

- Wanted to remove the outer join, while still providing termination guarantee
- To do this, we re-expressed our algorithm more formally
- We first defined a "global" flow function F, and then expressed our algorithm as a fixed point computation

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Guarantees

- If F is monotonic, don't need outer join
- If F is monotonic and height of lattice is finite: iterative algorithm terminates
- If F is monotonic, the fixed point we find is the least fixed point.

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What about if we start at top?

- What if we start with $\widetilde{\top}$: F($\widetilde{\top}$), F(F($\widetilde{\top}$)), F(F(F($\widetilde{\top}$)))

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What about if we start at top?

- What if we start with $\widetilde{\top}$: F($\widetilde{\top}$), F(F($\widetilde{\top}$)), F(F(F($\widetilde{\top}$)))
- We get the greatest fixed point
- Why do we prefer the least fixed point?
  - More precise

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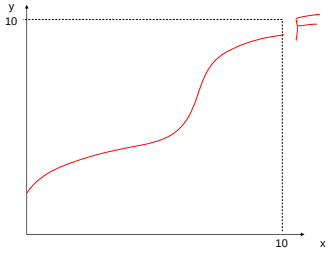
Graphically



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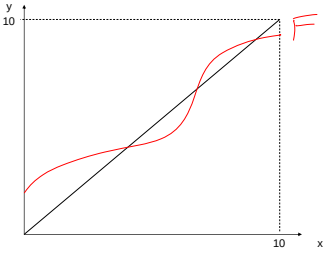
Graphically



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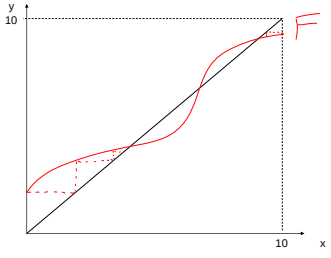
Graphically



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Graphically, another way

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